

# DATA ASSESSMENT REGISTRY MENTAL HEALTH & ADDICTION

# DARMHA Import and Export Specifications Manual SFY 2018

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# List of Revisions to Manual

More Revisions 6/2/2015

- Pg. 16 Added "No future dates and date of death should be after the episode start date" in Episode Data Import Layout and Close Episode Import Layout Death\_Date field comments/description.
- Pg. 34 Revised error code descriptions in universal error codes list. NOMS (-103) Medication being prescribed and is the prescriber a staff at your organization answers are required if consumer is receiving Medication Assisted Treatment (MAT).
   Diagnosis/Agreement (-104) NOMS record (as of diagnosis date) with primary drug is required if agreement type is CA (3). Added 105, -106 and -107 in universal error code table.

# 1. Introduction

The purpose of this document is to provide the specifications and guidelines for the Import and Export functionality of the DARMHA (Data Assessment Registry Mental Health & Addiction) system. The Import functionality provides a method for DARMHA users to submit data to the DARMHA system through the use of comma delimited text files containing predefined layout information. The Export functionality provides a method for DARMHA.

### 1.1 Scope

This document provides information about DARMHA Import and Export Functionality. This document is in nature and covers the following topics:

- Importing of Data
  - Datasets that are requested from the providers and their respective data elements, both required and optional.
  - File layouts needed for the different datasets that will be imported into the DARMHA.
  - Error Reports with error definitions and the effects on the imports.
- Exporting of Data
  - Data set available with each export

Topics that are not covered in this document include:

• How to set up your application to utilize the DARMHA Import or Export functionality

#### **Export Functionality**

The DARMHA system provides the functionality to extract data out of the system in real-time without supplying a formal request to DMHA. This functionality will facilitate the data integration efforts to the provider's Electronic Medical Records or other Case Management systems.

#### 1.2 Export Types

Data can be exported as text (comma delimited) or XML. When exporting to a text file, the first line lists all of the column names. Currently there are twelve different export types available in DARMHA. For each export type, except Assessment Tools, there are four options:

- By Date Supply a Date Range. This will export all the data between the date(s) entered.
- All new records not previously exported
- Everything in Open Episodes
- Everything: It will export all the data

Export Type	Description
Consumer Demographics Export	This is a data extract of the consumer demographic information.
Assessment/ Results Export	This is a data extract of the consumer assessment information by either
	Summary Assessment Info (resulting algorithm recommendations) or by Detail
	Assessment Info (the corresponding answers to each of the items/questions).
Episode Information Export	This is a data extract of consumer Episode information.
Encounter Information Export	This is a data extract of consumer Encounter information.
NOMS Data Export	This is a data extract of consumer NOMS information.
Diagnosis/Agreement Type Data Export	This is a data extract of Diagnosis/Agreement Type information.
EBP Data Export	This is a data extract of EBP information.
Assessment Tools	This provides all assessment questions and answers.

## 1.3 Export Access

The export functionality is available only to DARMHA users that have been authorized by their organization to have access to this functionality. Users that have this functionality activated will have available a menu named Import Export and two submenus (Export and Export log). On the Export submenu, users will be able to select the data needed to be exported as well as the format the data will be exported. The data will then be available on the Export Log page. For more information details regarding field options, see the import section.

Field #	Field Name
1	DARMHA ID
2	Agency Internal ID
3	Last Name
4	First Name
5	Middle Name
6	DOB
7	Gender
8	Zip Code
9	Social Security No
10	Moms Maiden Name
11	CSDS ID
12	Native American Ind
13	Asian Ind
14	Black Ind
15	White Ind
16	Hawaiian Ind
17	Other Single Ind
18	Ethnicity ID
19	Ethnicity Desc
20	Provider ID
21	Suffix ID
22	Primary Language
23	English Fluency
24	Medicaid Id
25	Dept of Correction ID
26	Recovery Works

## 1.4 Consumer Demographics Export

## 1.5 Summary Assessment Info Export

#### CANS 0-5

Field #	Field Name	
1	Internal ID	
2	Visit Date	
3	Assessment Reason Cd	
4	Clinician ID	
5	Option Level	
6	Option Desc	
7	Tool Desc	
8	Tool ID	
9	Algorithm Desc	
10	Algorithm ID	
11	Agency Assessment ID	
12	Strengthening Families Program	
13	Parent Child Interactive Therapy	
14	Child Parent Psychotherapy	
15	Incredible Years	
16	Reporting Field 1	
17	Reporting Field 2	

## ANSA

Field #	Field Name
1	Internal ID
2	Visit Date
3	Assessment Reason Cd
4	Clinician ID
5	Option Level
6	Option Desc
7	Tool Desc
8	Tool ID
9	Algorithm Desc
10	Algorithm ID
11	Agency Assessment ID
12	SMI Co Carve Out
13	ACT Indicator
14	Illness Management Recovery
15	Integrated Dual Diagnosis Therapy
16	Motivational Interviewing
17	Cognitive Behavioral Therapy
18	Matrix Model
19	Dialectical Behavior Therapy
20	Clubhouse
21	Peer Support
22	Reporting Field 1
23	Reporting Field 2

CANS 5-17		
Field #	Field Name	
1	Internal ID	
2	Visit Date	
3	Assessment Reason Cd	
4	Clinician ID	
5	Option Level	
6	Option Desc	
7	Tool Desc	
8	Tool ID	
9	Algorithm Desc	
10	Algorithm ID	
11	Agency Assessment ID	
12	Trauma Focused CBT	
13	Aggression Replacement Training	
14	Cannabis Youth Treatment	
15	Strengthening Families Program	
16	Parent Child Interactive Therapy	
17	Structured Psychotherapy	
18	Dialectical Behavior Therapy	
19	Cognitive Behavior Intervention	
20	Incredible Years	
21	Functional Family Therapy	
22	High Fidelity Wraparound	
23	Youth First Family	
24	Alternative Families CBT	
25	Motivational Interviewing	
26	Cognitive Behavior Therapy	
27	Reporting Field 1	
28	Reporting Field 2	

## Old Format (Assessment before 10/01/2015)

Field #	Field Name
1	Internal ID
2	Visit Date
3	Assessment Reason Cd
4	Clinician ID
5	Option Level
6	Option Desc
7	Tool Desc
8	Tool ID
9	Algorithm Desc
10	Algorithm ID
11	Agency Assessment ID

## 1.6 Detail Assessment Info Export

•
Field Name
Internal ID
Visit Date
Tool ID
Tool Desc
Module ID
Module Name
Question ID
Question Text
Answer ID
Answer Desc
Clinician ID
Internal Episode Code
Agency Assessment ID
Assessment Status
Assessment Reason CD
Answer Value

# 1.7 Episode Information Export

Field #	Field Name
1	Internal ID
2	Internal Episode ID
3	Episode St Dt
4	Episode End Dt
5	DSC Start Dt
6	Medicaid Enrolled
7	Marital Status Code
8	County
9	Disability Code
10	FoodStamps
11	Referral Source Code
12	TANF Status Code
13	Legal Basis Code
14	Mil Served
15	Mil Veteran
16	Mil Deployed
17	Mil Combat
18	Mil Family
19	Health Insurance Code
20	Insurance History Date
21	Episode Status Code
22	Children Wcare
23	Prior SA Episodes
24	Family Size
25	Family Income
26	Episode ID
27	DSC Status
28	Consumer Date of Death

## 1.8 Encounter Information Export

Field #	Field Name
1	Internal ID
2	Internal Episode ID
3	Encounter Dt
4	Location ID
5	Location Type
7	Procedure Code
8	Procedure Units
9	Procedure Value
10	Clinician Level Code
11	Internal Service ID
12	Main Service Setting Type
13	Creation Date

## 1.9 NOMS Data Export

Field #	Field Info
1	Internal ID
2	Internal Episode ID
3	NOMS Dt
4	NOMS Reason
5	Education Level
6	School Attendance Status
7	Employment
8	Employment Detail
9	ROLES Score
10	Living Arrangement
11	Housing Category
12	Housing Stability
13	Level Residential Support
14	Level Community Integration
15	Homeless Services
16	Primary Substance
17	Primary Route
18	Primary Frequency Use
19	Primary Age First Use
20	Secondary Substance
21	Secondary Route
22	Secondary Frequency Use
23	Secondary Age First Use
24	Tertiary Substance
25	Tertiary Route
26	Tertiary Frequency Use
27	Tertiary Age First Use
28	Criminal Involvement
29	Social Support
30	Needle Use
31	Is Pregnant
32	Created Dt
33	Updated Dt
34	Supported Employment
35	Supported Housing
36	MAT
37	Medication Prescribed
38	Is Prescriber Organization Staff

# 1.10 Diagnosis Data Export

## Old Format

Field #	Field Info
1	Internal ID
2	Internal Episode ID
3	Diagnosis Dt
4	Axis1 Diagnosis 1
5	Axis1 Diagnosis 2
6	Axis1 Diagnosis 3
7	Axis1 Diagnosis 4
8	Axis1 Diagnosis 5
9	Axis2 Diagnosis 1
10	Axis2 Diagnosis 2
11	Axis3 Diagnosis 1
12	Axis3 Diagnosis 2
13	Axis3 Diagnosis 3
14	Axis3 Nara
15	Axis4
16	Axis4 Nara
17	Axis5_GAF
18	Leading Cause Diagnosis
19	SOGS
20	Agreement Type
21	Specialized Treatment
22	Agreement Change

#### Diagnosis/Agreement

Diagnosis/Agreement						
Field #	Field Info					
1	Internal ID					
2	Internal Episode ID					
3	Diagnosis Dt					
4	Primary Diagnosis 1					
5	Diagnosis 2					
6	Diagnosis 3					
7	Diagnosis 4					
8	Diagnosis 5					
9	SOGS					
10	HC Diabetes Ind					
11	HC Cardiovascular Disease Ind					
12	HC Hypertension Ind					
13	HC Hyperlipidemia Ind					
14	HC Cancer Ind					
15	HC Smoking Ind					
16	HC Obesity Ind					
17	HC Asthma Ind					
18	HC COPD Ind					
19	Agreement Type					
20	Specialized Treatment					
21	Agreement Change					

## 1.11 Old EBP Data Export

Et al al II	Et al durfa
Field #	Field Info
1	Internal ID
2	Internal Episode ID
3	EBP History Date
4	ACT Indicator
5	System of Care Indicator
6	Supported Employment
7	IDDT
8	IMR
9	Supported Housing
10	Motivational Interview
11	Cognitive Behavioral Therapy
12	Matrix Model
13	DBT

## 1.12 Assessment Tools

Export contains CANS/ANSA assessment questions and answers for all tools.

Field #	Field Name
1	Tool ID
2	Tool Desc
3	Module Order
4	Module ID
5	Module Name
6	Question Text
7	Question Order
8	Question ID
9	Answer ID
10	Answer Desc
11	Answer Value
12	Question Clarification

## 2. Import Functionality

The goal of the Import functionality in DARMHA is to provide users with a method to submit their data to the DARMHA system by utilizing comma-delimited text files. Unlike in the past, the user can now submit data without choosing layout type.

Import Layout Name	<b>Record Identifier</b>	Description
Consumer	С	Insert/Update Consumer demographic information.
Assessment – CANS 0-5	Z	Insert CANS 0-5 Assessment information.
Assessment – CANS 5-17	F	Insert CANS 5-17 Assessment information.
Assessment – ANSA	А	Insert ANSA Assessment information.
Episode	E	Insert/Update Consumer Episode information.
Close Episode	L	Close Episode Information.
Encounter	S	Insert New Consumer Encounter.
Encounter	U	Edit Existing Consumer Encounter.
Delete Encounter	Х	Delete Existing Consumer Encounter.
NOMS	Ν	Insert Consumer NOMS information.
Diagnosis & Agreement Type	D	Insert Diagnosis & Agreement Type information.

#### 2.1 Import Access and Requirements

The Import functionality of DARMHA available to DMHA contracted providers allows them to import data into the DARMHA system. The DARMHA Import functionality validates and processes data at the point of delivery to the system.

To utilize the Import Functionality, DMHA Contracted Providers are required to supply through the DARMHA Help Desk (darmha@fssa.in.gov) at least one user to be assigned a DARMHA login for data submission and retrieval. More accounts may be created at the provider's discretion.

Providers are required submit test data to the DARMHA Quality Assurance (QA) environment (<u>https://dmhaqa.fssa.in.gov/DARMHAQA</u>). This allows providers the opportunity to review and refine their process without the risk of corrupting live data. For data security reasons, all data submitted to the DARMHA QA environment must be <u>test data only</u>. Before being given access to import in DARMHA Production, providers are required to perform a minimum of two (2) error-free submissions of each import area (Consumer, Episode, Assessment, Diagnosis/Agreement Type, Encounter, and NOMS) they intend to use to submit data. Once the

providers have successfully performed their testing in the DARMHA QA environment, the provider can request to the DARMHA Help Desk their production access to the Import functionality. After approval, access will be granted to the assigned users for production data submission.

The following requirements apply for DARMHA Import.

- Only comma-delimited text files are accepted. Do not include a header row in the import file. The field names displayed in the import layouts are for reference only and should not be included in the text file.
- If records are rejected, after correcting the error, resubmit only the records with errors.
- Optional fields that contain no data must have a placeholder in the comma-separated file in order for the application to parse the data successfully.
- The Consumer Import needs to be done first followed by the "E" Episode Import. The order of the rest of the imports is not important.
- String Fields can include commas if field is encapsulated with double quotes.
- Required Identifiers
  - Providers need to create a unique identifier for consumers called the "Internal ID." Internal IDs are required in every import.
  - Providers need to create an identifier for episodes called the "Internal Episode Code." This is the provider's identifier for a specific episode in a consumer's history and allows the provider to edit both current and past episodes. The Internal Episode Code must be unique for the specific consumer, but does not have to be unique across the provider. This means that Consumer A can have an Internal Episode Code of "1" and Consumer B can have an Internal Episode Code of "1" and Consumer B can have an Internal Episode Code of "1" Internal Episode Codes of "1."
  - Providers need to create an identifier for encounters/services called "Internal Service ID" if they want to edit or delete encounters through Import. This ID identifies a specific encounter/service for future edits or deletion. The Internal Service ID must be unique for the specific consumer, but does not have to be unique across the provider. This means that Consumer A can have an Internal Service ID of "123" and Consumer B can have an Internal Service ID of "123", but Consumer A cannot have two Internal Service IDs of "123."
  - Providers can choose whether they want to create an "Internal Assessment ID." This is not a required identifier.

## 2.2 Consumer Import Layout

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder	Yes
				of the row and how the row should be formed.	
				Value = "C"	
2	Internal ID	String	20	The provider's internal consumer identifier used at the	Yes
				provider's practice in their internal systems	
3	Unique ID	String	16	If consumers were included in the previous state database	No
				(CSDS), please supply this identifier for tracking purposes	
				and do not change it.	
4	Last Name	String	30	Consumer's Last Name	Yes
5	First Name	String	25	Consumer's First Name	Yes
6	Gender	Character	1	M = Male	Yes
				F = Female	
7	Date Of Birth	Date		Consumer's Birth Date (MM/DD/YYYY)	Yes
8	SSN	String	11	Consumer's Social Security Number (999-99-9999)	No
9	Ethnicity	Integer		Consumer's ethnic status.	Yes
				1 = Puerto Rican	
				2 = Mexican	
				3 = Cuban	
				4 = Other Hispanic/Latino 5 = Not Hispanic/Latino	
				6 = Latino, Unknown Origin	
10	Zip Code	String	10	Consumer's Zip Code (99999 or 99999-9999)	Yes
11	Middle Name	String	25	Consumer's Middle Name	No
12	Mother's Maiden	String	30	Consumer's Mother's Maiden Name	No
	Name	008			
At lea	st one of the Races	listed below	/ must be '	"Yes".	
13	American Indian	Integer		0 = No, 1 = Yes	Yes
14	Asian	Integer		0 = No, 1 = Yes	Yes
15	African American	Integer		0 = No, 1 = Yes	Yes
16	Caucasian	Integer		0 = No, 1 = Yes	Yes
17	Hawaiian/Pacific	Integer		0 = No, 1 = Yes	Yes
18	Islander Other Single Race	Integer		0 = No, 1 = Yes	Yes; can only
10	other ongie nate	integer			be used whe
					none of the
					other race
					categories
					apply
19	Suffix	Integer		-2 = None	No
10				1 = I (First)	
				2 = II (Second)	
				3 = III (Third)	
				4 = IV (Fourth)	
				5 = V (Fifth)	
				10 = Jr.	
	1			11 = Sr.	1

20	Primary Language	Integer		See Section 6; Can be Unknown (-1) if record was created before 7/1/2016.	Yes
21	English Fluency	Integer		0 = No, 1 = Yes, -3 = Not applicable. Not applicable (-3) will only be available for consumer records that are being updated and when English is the primary language.	Yes
22	Medicaid/HIP ID	Integer	12	Consumer's Medicaid ID, must be 12 digits starting with 10, 12 and ending with 99 or 12 digits starting with 6.	Yes, if Active Medicaid/HIP
23	Dept of Correction ID	String	6	Optional for Recovery Works	No
24	Recovery Works	Integer		Field used for Recovery Works- required for program (0 = No, 1 = Yes)	No

## 2.3 Episode Data Import Layout

The Episode Data contains all of the detail data that determine the consumer's episode of care. The file is a variable length, variable field, comma-delimited data set. Each row of data will be tagged with a leading attribute, the Record Identifier that indicates which record set the row belongs to. Providers can submit all Episode data in the same file or in separate files.

Note: It is easier to troubleshoot import issues if one type of Episode data is sent at a time. For example, importing only NOMS data in a file.

Note: The Episode Data will be processed in the order received within the file. Detail data may not be submitted for areas such as the Assessment, Encounter, NOMS or Diagnosis/Agreement Type data sets without a previously submitted Episode ("E" Import) for the consumer.

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "E"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Episode Start Date	Date		Date the consumer's episode of care began (MM/DD/YYYY).	Yes, on episode creation only, cannot be edited
5	Episode End Date	Date		Date the consumer's episode of care ended (MM/DD/YYYY).	No, except when closing an episode of care

6	Active Medicaid/HIP	Integer	Does the consumer have Medicaid or HIP that is active?	Yes
			0 = No,	
			1 = Yes	
			If Yes, Medicaid ID in Consumer data is required.	
7	Marital Status	Integer	1 = Single	Yes
			2 = Married/Living together	
			3 = Widowed	
			4 = Divorced	
			5 = Married separated	
8	County of Residence ID	Integer	Numerical county identifier.	Yes
9	Food Stamps	Integer	Is the consumer currently receiving Food Stamps?	Yes
			0 = No	
			1 = Yes	
10	Source of Referral	Integer	1 = Individual/Self	Yes
			2 = Alcohol/Drug Abuse Care Provider	
			3 = Health Care, Other	
			4 = School (Educational)	
			5 = Employer/Employee Assistance Program	
			6 = Other Community Referral	
			7 = Court/Criminal Justice	
			8 = Referral from Child Welfare/Department of Child	
			Services (DCS)	
11	Legal Basis For	Integer	1 = State/Federal Court	Yes, if
	Referral		2 = Other Court (not State or Federal)	Source of
			3 = Probation/Parole	Referral
			4 = Other Recognized Legal Entity (E.G. local law	= 7
			enforcement agency, corrections agency, youth	otherwise
			services, review board/agency)	list as -3
			5 = Diversionary Program (e.g., TASC)	
			6 = Prison	
			7 = DUI/DWI	
12	Served	Integer	8 = Other The consumer served in one of the branches of the	Yes, if
12	Served	Integer		consumer is
			military, including the National Guard. 0 = No	under 18 mark
			1 = Yes	as -3 (Not
			-3 = Not Applicable	Applicable).
13	Veteran	Integer	The consumer is considered to be a veteran.	Yes, if Served
-		0-	0 = No	is 0 then list
			1 = Yes	0.
			-3 = Not Applicable	If served is -3
				then list as -3.
14	Deployed	Integer	The consumer has been deployed in the past.	Yes, if Served
		Ŭ	0 = No	is 0 then list
			1 = Yes	0.
			-3 = Not Applicable	If served is -3
				then list as -3.
15	Combat	Integer	The consumer served in combat.	Yes, if Served
	-	Ŭ	0 = No	is 0 then list
			1 = Yes	0.
			-3 = Not Applicable	If served is -3
				then list as -3.
				1

16	Family in Military	Integer	A member of the consumer's immediate family served in the military.	Yes
			0 = No 1 = Yes	
17	Consumer Disability	Integer	<ul> <li>-2 = None</li> <li>2 = Blind</li> <li>3 = Intellectual Disability/Developmentally Disabled</li> <li>4 = Deaf</li> <li>5 = Mute</li> <li>6 = Non-ambulatory</li> <li>7 = Other Physical/Medical</li> <li>8 = Neurological Impairment</li> <li>9 = Learning or reading disabilities</li> <li>11 = Traumatic Brain Injury</li> <li>12 = Hard of Hearing</li> </ul>	Yes
18	TANF	Integer	Is the consumer enrolled in TANF? 0 = No 1 = Yes	Yes
19	Consumer Health Insurance	Integer	<ul> <li>-2 = None</li> <li>1 = Private Insurance</li> <li>4 = Medicaid</li> <li>5 = Medicare</li> <li>6 = Other</li> <li>10 = HIP</li> <li>11 = TRI Care</li> <li>12 = Medicaid and Medicare</li> </ul>	Yes
20	Insurance History Date	Date	The first Insurance History Date will default to the episode start date. For the first episode record, date can be blank or the episode start date.	Yes
21	Family Size	Integer	Number of individuals supported by the adjusted family income Maximum value is 30.	Yes, Must be at least one
22	Adjusted Family Income	Integer	Annual family income - Maximum of six digits – Cannot be a negative number	Yes
23	Episode Status	Integer	<ul> <li>1 = Consumer in treatment</li> <li>3 = Service Completed*</li> <li>4 = Consumer Dropped/Opted out*</li> <li>5 = Death*</li> <li>6 = Moved out of Service area*</li> <li>7 = Incarcerated (treatment has stopped)*</li> <li>8 = Entered Nursing Home (treatment has stopped)*</li> <li>9 = Administrative Discharge*</li> <li>10 = DMHA Administrative Discharge (DMHA use only)*</li> </ul>	Yes * Indicates an Episode End Date is required.
24	Prior SA Episodes	Integer	The number of prior Substance Abuse Episodes Value should be 0 - 30	Yes
25	Dependent Children	Integer	Does the consumer have dependent children? 0 = No 1 = Yes	Yes
26	DSC Status	Integer	<ul> <li>0 = Not a DMHA Supported Consumer – This is the default.</li> <li>1 = DMHA Supported Consumer</li> <li>2 = DMHA Supported Consumer - Medication Only Note: Changing this status at episode close is not allowed.</li> </ul>	Yes

27	DSC Start Date	Date	For new episodes, this field will be automatically populated with the episode start date. When the status is changed, a date will be required. No future dates, no date before the Episode Start Date and no date before a previous DMHA Supported Consumer Status Start Date are allowed.	No, except when the HAP Status is changed, a date will be required.
28	Date of Death	Date	For new episodes, this field should be blank. Any values submitted will be ignored. When the status is updated to "Death", a date will be required. No future dates and death of date should be after episode start date.	No, except if Episode Status is changed to "Death", a date will be required.

Conditions for which the above record generates an error:

- No consumer is found with the corresponding Internal ID
- There is no corresponding Internal Episode Code for the consumer.
- Required fields are missing.
- Date fields are not formatted correctly.
- Non-required fields require an empty field place holder (, ,)
- Number of fields does not equal what is anticipated for an Episode Record (28)
- The Internal Episode Code is for a closed episode of care that is older than 180 days.
- Date of new records cannot be six months prior to current date.

## 2.4 Close Episode Import Layout

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "L"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Episode End Date	Date		Date the consumer's episode of care ended. Required Format: MM/DD/YYYY.	Yes
5	Episode Status	Integer		<ul> <li>3 = Service Completed</li> <li>4 = Consumer Dropped/Opted out</li> <li>5 = Death</li> <li>6 = Moved out of Service area</li> <li>7 = Incarcerated (treatment has stopped)</li> <li>8 = Entered Nursing Home (treatment has stopped)</li> <li>9 = Administrative Discharge</li> </ul>	Yes
6	Date of Death	Date		No future dates and death of date should be after episode start date. Required Format: MM/DD/YYYY.	No, except if Episode Status is changed to "Death", a date will be required.

## 2.5 Assessment Import Layout

a. CANS 0-5

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "Z".	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Certified CANS/ANSA Professional ID	String	25	The ID of the clinician, registered and currently certified, at the provider's practice, responsible for administering the CANS/ANSA to the consumer.	Yes
4	Assessment Date	Date		Date the assessment was administered. Required Format: MM/DD/YYYY	Yes
5	Assessment Reason CD	Integer		<ul> <li>1 = Initial Assessment</li> <li>2 = Reassessment</li> <li>3 = Transition/Discharge</li> </ul>	Yes, One Initial and one Discharge per Episode
6	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
7	Internal Assessment ID	String	25	This is the provider's internally assigned identifier.	No
8	Strengthening Families Program	Integer		0 = No 1 = Yes	Yes
9	Parent Child Interactive Therapy (PCIT)	Integer		0 = No 1 = Yes	Yes
10	Child-Parent Psychotherapy (CPP)	Integer		0 = No 1 = Yes	Yes
11	Incredible Years	Integer		0 = No 1 = Yes	Yes
12	Reporting Field 1	Integer	2	Number to link with default list or the list you give DARMHA Support. (Leave blank if not used)	No
13	Reporting Field 2	Integer	2	Number to link with default list or the list you give DARMHA Support. (Leave blank if not used)	No
14	Answer IDs	Integer (Array)		The ID provided by DARMHA that Differentiates assessment tool answers. (Values should be comma separated)	Yes

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "F"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Certified CANS/ANSA Professional ID	String	25	The ID of the clinician, registered and currently certified, at the provider's practice, responsible for administering the CANS/ANSA to the consumer.	Yes
4	Assessment Date	Date		Date the assessment was administered (MM/DD/YYYY).	Yes
5	Assessment Reason CD	Integer		1 = Initial Assessment 2 = Reassessment 3 = Transition/Discharge	Yes, One Initial and one Discharge per Episode
6	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
7	Internal Assessment ID	String	25	This is the provider's internally assigned identifier.	No
8	Trauma Focused Cognitive Behavior Therapy (TF-CBT)	Integer		0 = No 1 = Yes	Yes
9	Aggression Replacement Training (ART)	Integer		0 = No 1 = Yes	Yes
10	Cannabis Youth Treatment (CYT)	Integer		0 = No 1 = Yes	Yes
11	Strengthening Families Program	Integer		0 = No 1 = Yes	Yes
12	Parent Child Interactive Therapy (PCIT)	Integer		0 = No 1 = Yes	Yes
13	Structured Psychotherapy for Adolescents Responding to Chronic Stress (SPARCS)	Integer		0 = No 1 = Yes	Yes
14	Dialectical Behavior Therapy (DBT)	Integer		0 = No 1 = Yes	Yes
15	Cognitive Behavior Intervention for Therapy in Schools (CBITS)	Integer		0 = No 1 = Yes	Yes
16	Incredible Years	Integer		0 = No 1 = Yes	Yes
17	Functional Family Therapy (FFT)	Integer		0 = No 1 = Yes	Yes

18	High Fidelity Wraparound	Integer		0 = No 1 = Yes	Yes
19	Youth First's Family Connections (YFFC)	Integer		0 = No 1 = Yes	Yes
20	Alternative for Families: A Cognitive-Behavioral Therapy (AF-CBT)	Integer		0 = No 1 = Yes	Yes
21	Motivational Interviewing	Integer		0 = No 1 = Yes	Yes
22	CBT – Cognitive Behavioral Therapy	Integer		0 = No 1 = Yes	Yes
23	Reporting Field 1	Integer	2	Data to link with assessment. (Leave blank if not used)	No
24	Reporting Field 2	Integer	2	Data to link with assessment. (Leave blank if not used)	No
25	Answer IDs	Integer (Array)		The ID provided by DARMHA that Differentiates assessment tool answers. (Values should be comma separated)	Yes

## c. ANSA

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. <b>Value = "A"</b>	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Certified CANS/ANSA Professional ID	String	25	The ID of the clinician, registered and currently certified, at the provider's practice, responsible for administering the CANS/ANSA to the consumer.	Yes
4	Assessment Date	Date		Date the assessment was administered (MM/DD/YYYY).	Yes
5	Assessment Reason CD	Integer		<ul> <li>1 = Initial Assessment</li> <li>2 = Reassessment</li> <li>3 = Transition/Discharge</li> </ul>	Yes, One Initial and one Discharge per Episode
6	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
7	Internal Assessment ID	String	25	This is the provider's internally assigned identifier.	No
8	SMI/Co-Occuring Carve-out	Integer		0 = No 1 = Yes	Yes
9	ACT Indicator	Integer		0 = No 1 = Yes	Yes

10	Illness Management and Recovery (IMR)	Integer		0 = No 1 = Yes, with fidelity to the model 2 = Yes, without fidelity to the model	Yes
11	Integrated Dual Diagnosis Treatment (IDDT)	Integer		0 = No 1 = Yes, with fidelity to the model 2 = Yes, without fidelity to the model	Yes
12	Motivational Interviewing	Integer		0 = No 1 = Yes	Yes
13	Cognitive Behavioral Therapy	Integer		0 = No 1 = Yes	Yes
14	Matrix Model	Integer		0 = No 1 = Yes	Yes
15	Dialectical Behavior Therapy (DBT)	Integer		0 = No 1 = Yes	Yes
16	Clubhouse	Integer		0 = No 1 = Yes	Yes
17	Peer Support	Integer		0 = No 1 = Yes	Yes
18	Reporting Field 1	Integer	2	Data to link with assessment. (Leave blank if not used)	No
19	Reporting Field 2	Integer	2	Data to link with assessment. (Leave blank if not used)	No
20	Answer IDs	Integer (Array)		The ID provided by DARMHA that differentiates assessment tool answers. (Values should be comma separated)	Yes

#### Assessment Import Layout Requirements

- The "Internal ID" value must match an existing consumer submitted prior to the submission of the assessment.
- The "Certified CANS/ANSA Professional ID" value (also called "Clinician ID") must be registered with a certified individual within the DARMHA system prior to the submission of the assessment. The information is currently supplied to the DARMHA by contacting the DARMHA support center and registering the users.
- The "Assessment Date" must be prior to the expiration date of the CANS/ANSA clinician's certification. Future dates are not allowed.
- The "Answer IDs" field must coincide with the IDs supplied by DMHA and the DARMHA system for the CANS/ANSA tools. This ensures consistent data and valid algorithm recommendations.
- The "Internal Episode Code" specifies which episode of care to attach this assessment to.

When generating a crosswalk or integrating the CANS/ANSA tools into your application the following items need to be considered:

- All answers submitted must correspond to the same assessment tool. Several tools have similar questions/modules and answers; however, each answer has its own unique identifier. Substitution of an "Answer ID" from another tool/module/question results in the rejection of the assessment.
- All assessments must be submitted as complete assessments. No modifications of an assessment can be made after it is submitted via the import process. If an assessment is partially complete, enter it in through the web interface and return to it later to complete it.
- All assessments have core modules and possibly an extension module triggered by answers to specific questions within a core module. When an assessment is submitted, all core modules and extension modules are required to have a valid answer or else the import process rejects the assessment. Extension modules are evaluated based upon the answers supplied in the respective core modules.

• Some modules currently have "Not Applicable" available as an answer option. In these modules, the "NA" value is required for each question within the module for it to apply to the whole module. Failure to do so will result in an incomplete assessment. Incomplete assessments are rejected.

## 2.6 Encounter Import Layout

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "S"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Service Date	Date		Date the service was provided (MM/DD/YYYY).	Yes
5	Location ID*	String	7 (max)	DMHA provides this ID for each of your facilities. *Use blank, any valid Location ID, or your DMHA Provider ID for Location Types (2-5).	Yes, if Location Type is 1 (at facility)
6	Location Type*	Integer		1 = At facility 2 = School-based 3 = Home-based 4 = Out in the community 5 = At a Sub-contractor	Yes
7	Procedure Code	String	6	Current Procedural Terminology Codes (CPT) or Health Care Common Procedure System Codes (HCPCS).	Yes
8	Units	Integer		The number of units of the procedure supplied above, must be greater than 0 - no partial units accepted – Maximum value is 999.	Yes
9	Common Value	Integer		The customary or typicalvalue of this service for a <u>single unit</u> – Must be greater than zero; no decimals - no fractions Maximum value is 99,999.	Yes
10	Level of Clinician	Integer		<ol> <li>Psychiatrist, Board Eligible or Certified</li> <li>Other MD or DO</li> <li>PhD Psychologist, HSPP</li> <li>PhD Psychologist, Non HSPP</li> <li>PhD or Masters in Social Work, Nursing,</li> <li>Counseling, Marriage and Family Therapy,</li> <li>Psychology, LCSW, LMHC or LMFT</li> <li>Other Masters or Other PhD</li> <li>Certified Addiction Counselor</li> <li>RNs</li> <li>Bachelors</li> <li>Less Than Bachelors</li> <li>Facility (Residential Care) Staff</li> </ol>	Yes

11	Internal Service ID	String	25	The provider's internal identifier for this rendered service.	Required data field in order to edit or delete an encounter through
12	Main Service Setting Type*	String	2	Describes the main service setting a consumer is in on the day of the encounter. Each time an encounter is sent for particular day, you need to send in the main service setting for that day. You should use the highest appropriate fed code. See Section 7.	import.

Conditions for which the above record generates an error:

- No consumer is found with the corresponding Internal ID
- No episode is found with the specified Internal Episode Code
- The Internal Episode Code is for a closed episode of care that is older than one calendar year
- Required fields are missing
- Date fields are not formatted correctly
- Non-required fields require an empty field place holder (, ,)
- Number of fields does not equal what is anticipated for an Encounter Record (10, 11 or 12)
- Service date is prior to the start of the episode or after the end of the episode of care indicated
- Procedure Code supplied is not recognized

In the event that a service is supplied with an Internal Service ID that already exists, the current existing service record in the system will be updated (overwritten) with the new data supplied.

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "U"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Service Date	Date		Date the service was provided (MM/DD/YYYY).	Yes
5	Location ID*	String	7 (max)	DMHA provides this ID for each of your facilities. * Use your DMHA Provider ID for Location Types (2-5)	Yes, if Location Type is 1 (at facility)

## 2.7 Edit Encounter Import Layout

6	Location Type*	Integer		1 = At facility 2 = School-based 3 = Home-based 4 = Out in the community 5 = At a Sub-contractor	Yes
7	Procedure Code	String	6	Current Procedural Terminology Codes (CPT) or Health Care Common Procedure System Codes (HCPCS).	Yes
8	Units	Integer		The number of units of the procedure supplied above, must be greater than 0 - no partial units accepted – Maximum value is 999.	Yes
9	Common Value	Integer		The customary or typical value of this service for a <u>single unit</u> – No negative numbers allowed Maximum value is 99,999.	Yes
10	Level of Clinician	Integer		<ul> <li>1 = Psychiatrist, Board Eligible or Certified</li> <li>4 = Other MD or DO</li> <li>7 = PhD Psychologist, HSPP</li> <li>8 = PhD Psychologist, Non HSPP</li> <li>10 = PhD or Masters in Social Work, Nursing,</li> <li>Counseling, Marriage and Family Therapy,</li> <li>Psychology, LCSW, LMHC or LMFT</li> <li>13 = Other Masters or Other PhD</li> <li>16 = Certified Addiction Counselor</li> <li>19 = RNs</li> <li>22 = Bachelors</li> <li>25 = Less Than Bachelors</li> <li>28 = Facility (Residential Care) Staff</li> </ul>	Yes
11	Internal Service ID	String	25	The provider's internal identifier for this rendered service.	Yes
12	Main Service Setting Type*	String	2	Describes the main service setting a consumer is in on the day of the encounter. Each time an encounter is sent for particular day, you need to send in the main service setting for that day. You should use the highest appropriate fed code. See Section 7.	Yes, if Agreement type is 3 (CA). SMI and SED can use codes 72 to 76.

# 2.8 Delete Encounter Import Layout

Field #	Field Name	Data	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "X"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Internal Service ID	String	25	The provider's Internal identifier for this rendered service.	Yes

# 2.9 Diagnosis and Agreement Type Import Layout

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "D"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	Diagnosis/Agreement Date	Date		Date of consumer's diagnosis and agreement type (MM/DD/YYYY).	Yes
5	Primary Diagnosis	String	8	Behavioral Health Diagnoses (DSM 5 or ICD 10).	Yes
6	Diagnosis 2	String	8	Same as above	No
7	Diagnosis 3	String	8	Same as above	No
8	Diagnosis 4	String	8	Same as above	No
9	Diagnosis 5	String	8	Same as above	No
10	SOGS	Integer		South Oaks Gambling Score Accepted values are from 0 – 20. Required for consumers with Gambling funding type. If no SOGS score, you can code -2 (None).	No
11	Diabetes	Integer		0 = No, 1 = Yes	Yes
12	Cardiovascular Disease	Integer		0 = No, 1 = Yes	Yes
13	Hypertension	Integer		0 = No, 1 = Yes	Yes
14	Hyperlipidemia	Integer		0 = No, 1 = Yes	Yes
15	Cancer	Integer		0 = No, 1 = Yes	Yes
16	Smoking	Integer		0 = No, 1 = Yes	Yes
17	Obesity	Integer		0 = No, 1 = Yes	Yes
18	Asthma	Integer		0 = No, 1 = Yes	Yes
19	COPD	Integer		0 = No, 1 = Yes	Yes
20	Agreement Type	Integer		1 = SMI 2 = SED 3 = CA SED Consumers must be less than 18 years old. SMI consumer must be at least 18 years old. Starting 7/1, when changing a consumer to a CA agreement type, a primary drug is required in NOMs record.	Yes
21	Specialized Treatment	Integer		-2 = None 2 = Deaf Services 5 = Gambling Treatment	No

22	Agreement Change	Integer	1 = Initial	Yes,
			2 = Refined Diagnosis	3 must
			3 = Special Funding Requested	have
				Specialized
				Treatment
				of 2

Conditions for which the above record generates an error:

- No consumer is found with the corresponding Internal ID.
- No episode is found with the specified Internal Episode Code.
- The Episode ID is for a closed episode of care that is older than 180 days.
- Required fields are missing.
- Non-required fields require an empty field place holder (,,).
- Date fields are not formatted correctly.
- Number of fields does not equal what is anticipated for a Diagnosis Agreement Type Record (22).
- Diagnosis/Agreement Date is prior to the start of the episode or after the end of the episode of care indicated.

#### 2.10 NOMS Import Layout

Field #	Field Name	Data Type	Length	Comments/Description	Required
1	Record Identifier	Character	1	This flag determines data contained within the remainder of the row and how the row should be formed. Value = "N"	Yes
2	Internal ID	String	20	The provider's internal consumer identifier used at the provider's practice in their internal systems.	Yes
3	Internal Episode Code	String	25	The provider's internal episode identifier for the episode of care for the specified consumer.	Yes
4	NOMS Date	Date		Date the NOMS history is obtained. (MM/DD/YYYY).	Yes
5	NOMS Reason	Integer		The associated event for the capturing of the NOMS History. 1 = Initial 2 = Reassessment 3 = Discharge	Yes, One Initial and one Discharge per Episode

6	Consumer	Integer	0 = No formal schooling	Yes
Ŭ	Education Level	integer	1 = First Grade	ies
			2 = Second Grade	
			3 = Third Grade	
			4 = Fourth Grade	
			5 = Fifth Grade	
			6 = Sixth Grade	
			7 = Seventh Grade	
			8 = Eighth Grade	
			9 = Ninth Grade	
			10 = Tenth Grade	
			11 = Eleventh Grade	
			12 = High School Graduate	
			13 = One Year Of College Completed	
			14 = 2 Years Of College Completed/2 Year	
			Associate Degree	
			15 = 3 Years Of College Completed	
			16 = College Graduate/4 Year	
			21 = Post-secondary Vocational Skills Training	
			23 = Nursery School / Preschool	
			24 = Kindergarten	
			25 = Self-contained Special Education (no equivalent	
			grade level)	
			70= Graduate or Professional School	
7	School Attendance	Integer	Yes or No answer required for children ages 3-17.	Yes
	Status		1 = Yes, consumer has attended school at any time in	
			the past three months	
			0 = No, consumer has not attended school at any time	
			in the past three months	
			-3 = Not applicable (use for infants and adults)	
8	Employment	Integer	1 = Unemployed, Looking For work	Yes
		0	2 = Unemployed, Not In Labor Force (community only)	
			3 = Employed - Full Time (35+ Hours/week)	
			4 = Employed - Part Time(1 - 5 hours)	
			5 = Employed - Part Time(6 - 10  hours)	
			6 = Employed - Part Time(11 - 15 hours)	
			7 = Employed - Part Time(16 - 20 hours)	
			8 = Employed - Part Time(21 - 34 hours)	
9	Employment	Integer	-3 = Not Applicable (this is not an option if	Yes
	Detail	inceger	Employment Status = 2)	103
	Detail		1 = Homemaker	
			2 = Student	
			3 = Retired	
			4 = Disabled	
			5 = Inmate of Institution	
			6 = Other	

10	ROLES score	Integer	-3 - Not Applicable	Yes, if
10	NULES SCULE	Integer	-3 = Not Applicable 1 = Homeless	consumer is
				less than 18
			2 = Independent	
			3 = Biological Family	years old; list
			4 = School Dormitory	as Clifthe
			5 = Relative's home/Adopted	-3 if the
			6 = Supervised Independent	consumer is
			7 = Foster Care	18 or older.
			8 = Therapeutic Foster Care	
			9 = Individual Home/Group Emergency Shelter	
			10 = Group Home	
			11 = Residential Treatment Center	
			12 = Medical Hospital (non-psychiatric)	
			13 = Intensive Treatment Unit	
			14 = State Hospital	
			15 = Juvenile Detention	
			16 = Jail/Prison	
11	Living	Integer	-3 = Not Applicable	Yes, if the
	Arrangement		1 = Homeless	consumer is
			2 = Residential Facility	18 years old
			3 = Independent Living	or older; list
			4 = Jail/Correctional Facility	as -3 if the
			5 = Supported Living	consumer is
			6 = Person In Foster Care/Foster Home	younger than
			7 = Inpatient Hospital	18.
			8 = State Institution SOF	
			10 = Other	
			12 = Crisis Residential and Sub-Acute Stabilization	
			13 = Nursing Home	
			14 = Shelter Facility	
12	Housing Category	Integer	1 = Permanent Housing	Yes
			2 = Temporary Housing	
			3 = Institutional Housing	
			4 = Homeless	
13	Housing	Integer	1 = Less than 6 months	Yes
_	Stability		2 = 6 months to one year	
	,		3 = One to two years	
			4 = More than 2 years	
14	Level of Residential	Integer	-3 = Not Applicable	Yes, if
	Support		1 = Residential Support not needed or not provided	Housing
			2 = Living with person(s) who provide supportive	Category is
			services in the home that are needed	1 or 2;
			3 = Access to paid support in the consumer's residence	otherwise
			as needed	list as -3
			4 = Access to paid support in the consumer's residence	
			5 or more days per week for up to 8 hours	
			5 = Access to paid support in the consumer's residence	
			5 or more days per week for 9 to 16 hours	
			6 = Access to paid support in the consumer's residence	
			24 hours a day, 7 days per week.	

15	Level of Community	Integer	-3 = Not Applicable	Yes, if
	Integration		1 = Living environment is fully integrated in the	Housing
	J J		community	Category is
			2 = Living environment is home to persons with mental	
			health/addiction issues, persons with other disabilities,	otherwise
			and persons without identified disabilities.	list as -3
			3 = Living environment is home to persons with mental	
			health/addiction issues only.	
16	Homeless Services	Integer	-3 = Not Applicable	Yes, if
			1 = Daily	Housing
			2 = Weekly	Category = 4
			3 = Monthly	otherwise lis
			4 = Less frequently	as -3
			5 = None	
			6 = Consumer refuses assistance	
17	Primary	Integer	See Section 5	Yes
	Substance		"None" cannot be used for primary substance for	
			Agreement Type CA and Specialized Treatment is not	
			Gambling Treatment.	
18	Primary Route	Integer	-3 = Not Applicable – This can only be used if None (-2)	Yes
			was listed for drug.	
			1 = Oral	
			2 = Smoked	
			3 = Inhaled	
			4 = Injection	
			5 = Other	
19	Primary Frequency of	Integer	-3 = Not Applicable – This can only be used if None (-2)	Yes
	Use		was listed for drug	
			_	
			1 = None in the past month	
			2 = One - three time in the past month	
			3 = One-two times per week	
			<ul><li>4 = Three - six times per week</li><li>5 = Daily</li></ul>	
20	Primary Age	Integer	Required field if drug is listed3 = Not Applicable if	Yes
20	of 1 <sup>st</sup> Use	integer	drug is none.	105
21	Secondary Substance	Integer	See Section 5	Yes
22	Secondary Route	Integer	Required field if drug is listed3 = Not Applicable if	Yes
			drug is none.	
23	Secondary Frequency	Integer	Required field if drug is listed3 = Not Applicable if	Yes
	of Use		drug is none.	
24	Secondary Age	Integer	Required field if drug is listed3 = Not Applicable if	Yes
24	of 1 <sup>st</sup> Use	IIICEBEI	drug is none.	165
25				N N
25	Tertiary Substance	Integer See Section 5		Yes
26	Tertiary Route	Integer	Required field if drug is listed3 = Not Applicable if	Yes
			drug is none.	
27	Tertiary Frequency	Integer	Required field if drug is listed3 = Not Applicable if	Yes
	Of Use		drug is none.	

28	Tertiary Age Of 1 <sup>st</sup> Use	Integer	Required field if drug is listed3 = Not Applicable if drug is none.	Yes
29	Criminal Involvement	Integer	Arrests in the last 30 days (Maximum Value is 30).	Yes
30	Social Support	Integer	Frequency of Attendance at self-help programs or support groups in the last 30 days (e.g. AA, NA, Depression Support Group, Bipolar Support Group, etc.) -2 = No attendance in the past month 2 = Less than once a week - 1-3 times in past month 3 = About once a week - 4-7 times in past month 4 = 2 to 3 times a week - 8-15 times in past month 5 = At least 4 times a weeks - 16-30 times in past month 6 = Some attendance in past month, but frequency unknown	
31	Needle Use	Integer	<ul> <li>0 = No, Consumer has not used a needle</li> <li>1 = Yes, Consumer has used and shared a needle</li> <li>2 = Yes, Consumer has used a needle</li> </ul>	Yes
32	Pregnant	Integer	Is the consumer currently pregnant? 0 = No 1 = Yes	Yes
33	Supported Employment	Integer	<ul> <li>0 = Not enrolled in or not receiving supported employment services</li> <li>1 = Supported employment for paid, full-time work (35 hours per week or more with continuing support)</li> <li>2 = Supported employment for paid, less than full- time work (21 to 34 hours per week with continuing support)</li> <li>3 = Supported employment for paid, part-time work (16 to 20 hours per week with continuing support)</li> <li>5 = Supported employment for paid, part-time work (11 to 15 hours per week with continuing support)</li> <li>6 = Supported employment for paid, part-time work (6 to 10 hours per week with continuing support)</li> <li>7 = Supported employment for paid, part-time work (1 to 5 hours per week with continuing support)</li> <li>8 = Enrolled in supported employment and not yet employed</li> </ul>	Yes
34	Supported Housing	Integer	Is the consumer receiving supported housing? 0 = No 1 = Yes	Yes
35	Medication Assisted Treatment (MAT)	Integer	Is the consumer receiving Medication-Assisted Treatment (MAT)? 0 = No 1 = Yes	Yes, If date starts 7/1.
36	Medication being prescribed	Integer	<ul> <li>What medication is being prescribed?</li> <li>1 = Naltrexone (oral dosing)</li> <li>2 = Naltrexone (injection; Vivitrol)</li> <li>3 = Buprenorphine containing products (Suboxone, Subutex, buprenorphine, etc)</li> <li>4 = Methadone</li> <li>-3 = Not Applicable</li> </ul>	Yes, if MAT is selected. Otherwise, Blank or -3.
37	Prescriber is organization staff	Integer	Is the prescriber a staff in your organization? 0 = No 1 = Yes -3 = Not Applicable	Yes, If MAT is not -3. Otherwise, Blank or -3.

Conditions for which NOMS import record generates an error:

- No consumer is found with the corresponding Internal ID
- No episode is found with the specified Internal Episode Code
- The Internal Episode Code is for a closed episode of care that is older than one calendar year
- Required fields are missing
- Date fields are not formatted correctly
- Number of fields does not equal what is anticipated for a NOMS History Record (37)
- Non-required fields require an empty field place holder (, ,)
- NOMS History date is prior to the start of the episode or after the end of the episode of care indicated
- This NOMS History Record is assigned to either an Initial or Discharge NOMS Reason when one already exists for the episode of care indicated for this consumer.

#### 3. Import Log

The Import log functionality allows you to view current or historical import log results. The top of the Import log results grid displays the import summary which includes the date and time the import was processed, the name of the user that performed the import, the imported file name and records count.

#### Import Log

Print Re	port					
	Log ID	Date & Time	Imported By	FileName	Success	Errors
Detail	1159993			CANSDEMO_5_17_20130819102922645+TEST [1].txt	1	1
Detail	150474		Mendoza, Michael	DXTEST_X3.txt	0	1
Detail	129647		Mendoza, Michael	consumer_x2.txt	1	0
Detail	129645		Mendoza, Michael	consumer_x2.txt	1	0
123456789						

●All	OOK	○ Error
Records	Records	Records

By selecting the "Detail" button located on the left of each import log row, more detail information is displayed. The detail results grid shows each record processed from the file. Information displayed in the detail includes the date & time the record was processed, the record number (row number in the file), the transaction type (Insert, Update, Validate, Delete, etc..), the reference message or code, the message ID (see Section 4 – DARMHA Message Codes) and the status of the transaction (OK or Error). The detail grid can be filtered to display all the records, the OK records (success) or Error records (fail).

#### Import Log

	Log I	D	Date &	Time	Imported	Ву		F	ileName	9		Succe	ss Erro
Detail	1599		3/19/201 1:08:31 F		Mendoza, Michael		ANSDEMO_5_ I].txt	17_201	130819_	_1029226	45+TEST	1	1
Detail	1504	4	5/3/2013 5:50:50 F		Mendoza, Michael	' IDXIESI X3TYT				0	1		
Detail	1296	1/1	2/21/20 2:20:08 F		Mendoza, Michael	с	onsumer_x2.t	xt				1	0
Detail	1296	151	2/21/20 2:19:08 F		Mendoza, Michael	co	consumer_x2.txt			1	0		
12345	<u>5678</u>	9											
	Lo	g ID	Detail ID	Tim	estamp	Rec #	Transaction	Refe	rence	Message ID	Internal ID	From File	Status
View M	sg 15	9993	34878177	8/19/2013	3 4:08:39 PM	1	Insert Consumer	690		-57	690		×
View M	sg 15	9993	34878178	8/19/201	3 4:08:41 PM	2	Insert Consumer	394		1	394		<ul> <li>Image: A start of the start of</li></ul>

● All ○ OK ○ Error Records Records Records

#### Import Errors Troubleshooting

The goal of the following sections is to provide a description of some of the information provided for each record processed using the import functionality. If after reviewing these items the user still can't identify the cause of an error, the user should contact the DARMHA Helpdesk (**darmha@fssa.in.gov**) for further assistance.

#### **Message ID**

The Message ID column of the Import Log Detail grid report displays the resulted DARMHA Message Code number for the processed transaction (record). A complete list of all the DARMHA Message Codes and their descriptions are available Section 4 at the end of the document.

#### **Reference ID**

The Reference ID column of the Import Log Detail grid report provides further information for the processed transaction (record). The reference ID may contain one of the following values:

- DARMHA Message Code
- Binary (0 or 1) string representing the status of each field (0 = ok or 1 = error)
- Text message
- Reference value (Internal ID, Episode ID, Date, etc..)

#### Binary String (0100000...)

The binary string found in some Reference ID records represents the status of each field for the record layout being imported. For example, an error on the Date of Birth while inserting or updating a consumer record will display an error on the position # 6 (0000010000000000).

## 4. DARMHA Error Message Codes

Code Value	Subject Area	Code Description	
1	General	Operation completed successfully	
0	Consumer	Consumer Record Not Found	
-1	General	Invalid Flow	
-2	General	SQL exception	
-3	General	Authentication Failed	
-4	General	Web Service Secure Connection Failed	
-5	Assessment	No Assessment Found	
-6	Assessment	Assessment Date is required	
-7	Assessment	Invalid Assessment Date (format)	
-8	Assessment	Future Assessment Date is not allowed	
-9	Assessment	Duplicate Assessment – Same Internal ID and Assessment Date	
-10	Assessment	Answer ID is required	
-11	Assessment	Clinician ID is required	
-12	Assessment	Clinician ID not found or certification is missing or has expired	
-13	Assessment	Invalid Assessment Reason Code	
-14	Assessment	Assessment is empty	
-15	Assessment	Assessment Answer Error: An answer does not match with existing assessment	
-16	Assessment	Assessment is incomplete: < <error question="">&gt; answer is missing</error>	
		from core module < <error module="">&gt;</error>	
-18	Assessment	Assessment is incomplete: < <error question="">&gt; answer is missing</error>	
		from a mandated extension module < <error module="">&gt;</error>	
-19	General	Unexpected error	
-20	General	Field(s) with errors	
-21	Assessment	Reason Code Error: Incorrect Reason Code Specified	
-23	Assessment	System Error: Simple Episode Creation Failed	
-24	Assessment	Episode Error: Assessment cannot be attached to an episode	
		closed for more than 180 days	
-25	Assessment	Episode Error: Assessment date is not within the boundaries of the episode	
-27	Assessment	Episode Error: Invalid Episode specified	
-28	Assessment	System Error: Insert Visit failed! Please try again or contact DARMHA Help Desk	
-29	Episode	Invalid Episode of Care specified	
-30	Episode	Consumer has no Episode of Care defined	
-31	Episode	No open episode of care defined by the supplied criteria	
-32	Episode	Existing Open Episode of Care Exists, cannot create second open episode without	
		closing first.	
-33	Episode	No Episode of Care exists, use Insert instead of Update	
-34	Episode	Latest Episode has no Internal Identifier	
-35	Episode	Invalid Episode Status Code	
-36	Episode	Service Outside Episode of Care Bounds	
-37	Episode	Invalid Episode Start Date or Internal Episode Code	
-38	Episode	Invalid Episode Close Date	
-40	Encounter	No Service Record found related to supplied Internal Service ID	

Code Value	Subject Area	Code Description
-43	Diagnosis/Agreement	Invalid Age/Agreement Type Combination
-44	File	Number of fields is invalid for the selected file type.
-45	File	Invalid Episode Data type identifier
-46	Episode	No Prior Episode of Care Defined
-47	Episode	Episode Closed longer 180 days - cannot modify
-48	Episode	Episode Overlap Error: Episode predates existing episode or is defined inside anothe existing episode.
-49	Episode	Internal Episode ID Exists, cannot insert new episode with duplicate internal episode
-50	Episode	Invalid Consumer/Provider Combination
-51	Episode	Episode status is already closed
-52	Consumer	At least one race must be selected
-53	Episode	Invalid DSC Status Start Date
-54	Episode	Invalid DSC Status value
-55	Episode	Reopened episode must remain open for 24 hours.
-56	Diagnosis/Agreement	Invalid value in SOGS Field
-57	Consumer	Other single race can only be used when the other race categories do not apply
-58	Assessment	Invalid Assessment Tool for Consumer's Age, 1
-59	Encounter	Internal Service ID already exists; record skipped.
-60	Encounter	Internal Service ID is required.
-61	NOMS	School Attendance Status is required for children ages 3-17.
-62	NOMS	Housing Category does not match with Level of Residential Support, Level of Community Integration or Homeless Services.
-63	Diagnosis/Agreement	Funding has been requested for this record; it cannot be overwritten.
-64	Diagnosis/Agreement	Required data to request funding is missing.
-65	Consumer	Based on DOB and Social Security Number, this consumer already exists.
-66	Consumer	Cannot update consumer record, DOB does not match assessment tool.
-67	Encounter	Encounter record can only be updated or deleted for 180 days after the date of submission to DARMHA.
-68	Episode & Diagnosis/Agreement	Agreement Type cannot be changed on the same day as the episode was closed
-69	Diagnosis/Agreement	Diagnosis is not allowed as primary diagnosis.
-70	Episode	Episode must be open for at least one day.
-71	General	New data can only be added for 180 days after the Episode End Date.
-73	Diagnosis/Agreement	Primary Diagnosis and Agreement Type does not match.
-74	General	Records can only be updated for 180 days after the submission date to DARMHA
-75	Diagnosis/Agreement	Special Funding Requested should have a Specialized Treatment of Deaf Services or Medication-Assisted Opioid Therapy.
-76	Assessment	Valid County ID code required
-77	Assessment	Clinician ID does not exist in DARMHA
-78	Assessment	Certification does not exist in DARMHA
-79	Assessment	Clinician certification is expired
-80	Episode	Medicaid ID is required if Medicaid status is active or Medicaid ID format is invalid
-81	Episode	When Served is "Yes," Veteran, Deported and Combat questions cannot be "Not Applicable".
-82	Episode	Cannot create new episode with "Death" termination code in previous record.
-83	NOMS	Duplication of drugs selected for primary, secondary or tertiary substances are not allowed.

-84	Consumer	Provider not eligible for new program
-85	Consumer	Required data for new program is not complete
-86	Episode	Duplicate Internal Episode Code exist for consumer
-87	Diagnosis/Agreement	Duplicate use of Diagnosis Code is not allowed
-88	Episode	DSC status cannot change at episode closing
-89	Consumer	Suffix does not belong in the last name field
-90	Diagnosis/Agreement	Diagnosis Codes must be submitted in order without blank entries in between
-91	Assessment	Invalid assessment layout for tool
-92	Assessment	Assessment question(s) has multiple Answer IDs
-93	Consumer	Duplicate SSN.
-94	Consumer	Duplicate Medicaid ID.
-95	Consumer	English Fluency field is required if primary language is not English.
-97	Consumer	Language ID value of -1 (Unknown) is not allowed.
-98	Encounter	Main Service Setting Type code does not match with agreement type.
-99	Encounter	A Diagnosis/Agreement Type record is required before submitting an encounter.
-100	Diagnosis/Agreement	No Diagnosis/Agreement Type record exists.
-101	General	Date of new records cannot be six months prior to current date.
-102	Episode	Consumer's date of death is required if "Death (5)" is selected in episode status.
-103	NOMS	Medication being prescribed and Is the prescriber a staff at your organization answers are required if consumer is receiving Medication Assisted Treatment (MAT).
-104	Diagnosis/Agreement	NOMS record (as of diagnosis date) with primary drug is required if agreement type is CA (3).
-105	Episode	Episode status with "DMHA Administrative Discharge" cannot be reopened.
-106	Episode	Episode Death Date cannot be before date of last supporting record (Assessment, Diagnosis, Encounter, NOMS, etc.).
-107	General	No supporting record is allowed if the episode termination is "Death".

#### 5. Substance Use/Abuse Codes

At least one substance is required if Agreement type is CA; "None" is NOT an option for Primary Substance for CA consumers, except if the consumer has a gambling addiction.

- -2 = None
- 2= Alcohol
- 3 = Cocaine/Crack
- 4 = Marijuana/Hashish
- 5 = Heroin
- 6 = Non-Prescription Methadone
- 7 = Other Opiates and Synthetics
- 8 = PCP
- 9 = Other Hallucinogens
- 10 = Methamphetamine
- 11 = Other Amphetamines
- 12 = Other Stimulants
- 13 = Benzodiazepines
- 14 = Other non-Benzodiazepines Tranquilizers
- 15 = Barbiturates
- 16 = Other non-Barbiturate Sedatives or Hypnotics
- 17 = Inhalants
- 18 = Over-the-Counter
- 19 = Tobacco
- 20 = Other

## 6. Primary Language Codes

- 1 = English 13 = Italian 2 = Spanish 14 = Japanese 3 = Arabic 15 = Khmer 16 = Korean 4 = Armenian 5 = Chinese 17 = Laotian 6 = French18 = Navajo 7 = German 19 = Persian 8 = Greek 20 = Polish9 = Gujarati 21 = Portuguese 10 = Hebrew 22 = Russian 11 = Hindi 23 = Serbo-Croatian 12 = Hmong 24 = Tagalog
- 25 = Thai 26 = Urdu 27 = Vietnamese 28 = Yiddish 29 = Other

## 7. Main Service Setting Type (Fed Code)

Fed Code Priority (01 being the highest priority)	Main Service Setting Description
01	DETOXIFICATION, 24-HOUR SERVICE, HOSPITAL INPATIENT – 24 hours per day medical acute care services in hospital setting for detoxification of persons with severe medical complications associated with withdrawal.
02	DETOXIFICATION, 24-HOUR SERVICE, FREE-STANDING RESIDENTIAL – 24 hours per day services in non-hospital setting providing for safe withdrawal and transition to ongoing treatment.
03	REHABILITATION/RESIDENTIAL — HOSPITAL (OTHER THAN DETOXIFICATION) – 24 hours per day medical care in a hospital facility in conjunction with treatment services for alcohol and other drug abuse and dependency.
04	REHABILITATION/RESIDENTIAL — SHORT TERM (30 DAYS OR FEWER) – Typically, 30 days or fewer of non-acute care in a setting with treatment services for alcohol and other drug abuse and dependency.
05	REHABILITATION/RESIDENTIAL— LONG TERM (MORE THAN 30 DAYS) – Typically, more than 30 days of non-acute care in a setting with treatment services for alcohol and other drug abuse and dependency; may include transitional living arrangements such as halfway houses.
06	AMBULATORY— INTENSIVE OUTPATIENT – At a minimum, treatment lasting two or more hours per day for 3 or more days per week (includes partial hospitalization).
07	AMBULATORY — NON-INTENSIVE OUTPATIENT – Ambulatory treatment services including individual, family and/or group services; may include pharmacological therapies.
08	AMBULATORY — DETOXIFICATION – Outpatient treatment services providing for safe withdrawal in an ambulatory setting (pharmacological or non-pharmacological).
72	STATE PSYCHIATRIC HOSPITAL – All DMHA-funded and DMHA-operated organizations operated as hospitals that provide primarily inpatient care to persons with mental illnesses from a specific geographical area and/or statewide
73	DMHA-FUNDED/OPERATED COMMUNITY-BASED PROGRAM – Include community mental health centers (CMHCs), outpatient clinics, partial care organizations, partial hospitalization programs, PACT programs, consumer run programs (including Club Houses and drop-in centers), and all community support programs (CSP)
74	RESIDENTIAL TREATMENT CENTER – An organization, not licensed as a psychiatric hospital, whose primary purpose is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth, and in some cases, adult care.
75	OTHER PSYCHIATRIC INPATIENT – A private provider or medical provider licensed and/or contracted through the DMHA.
76	INSTITUTIONS UNDER THE JUSTICE SYSTEM – Mental health services provided in a jail, prison, juvenile detention center, etc.